

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Goddard et al.

10/735,014 Appln. No.:

**December 12, 2003** Filed:

SECRETED AND For:

TRANSMEMBRANE

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

Attorney Docket No: 10466/486 P2548P1C13-C1

Examiner: Sumesh Kaushal

Art Unit: 1636

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

## OTHER ART

Coico, R (Ed.), AM et al. Proliferative Assays For T Cell Function. Current Protocols in Immunology, 1991 Vol. 1; 3.12.1-3.12.14.

Steinman, RM. The Dendritic Cell Advantage: New Focus For Immune-Based Therapies. Drug News Perspect Dec. 2000; 13(10); 581-586.

Gubler, U et al. Coexpression of Two Distinct Genes is Required to Generate Secreted Bioactive Cytotoxic Lymphocyte Maturation Factor. Proc. Natl. Acad. Sci. USA, May 1991; Vol. 88; 4143-4147.

Peterson, AC et al. Immunization With Melan-A Peptide-Pulsed Peripheral Blood Mononuclear Cells Plus Recombinant Human Interleukin-12 Induces Clinical Activity and T-Cell Responses in Advanced Melanoma. Journal of Clinical Oncology, June 2003; Vol. 21(12); 2342-2348.

Thurner, B, et al. Vaccination With Mage-3A1 Peptide-pulsed Mature, Monocyte-derived Dendritic Cells Expands Specific Cytotoxic T Cells and Induces Regression of Some Metastases in Advanced Stage IV Melanoma. J. Exp. Med. Dec. 1999; Vol. 190(11); 1669-1678.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of



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the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Pursuant to 37 C.F.R. §1.97(c), Applicants include herewith this submission a processing fee in the amount of \$180.00 pursuant to 37 C.F.R. §1.17(p). Applicants have enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

3 Aug 2005 Date

C. Noel Kaman (Reg. No.51,857)

FORM PTO-1449 2 AUS 0 8 2005 1	SERIAL NO.	CASE NO.
	10/735,014	10466/486
		P2548P1C13-C1
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	December 12, 2003	1636
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Goddard, et al.	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
	EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
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EXAMINER			
INITIAL	(l syr	nclude name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, nposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A1	Coico, R (Ed.), AM et al. Proliferative Assays For T Cell Function. Current Protocols in Immunology, 1991 Vol. 1; 3.12.1-3.12.14.	
	A2	Steinman, RM. The Dendritic Cell Advantage: New Focus For Immune-Based Therapies.  Drug News Perspect Dec. 2000; 13(10); 581-586.	
	A3	Gubler, U et al. Coexpression of Two Distinct Genes is Required to Generate Secreted Bioactive Cytotoxic Lymphocyte Maturation Factor. Proc. Natl. Acad. Sci. USA, May 1991; Vol. 88; 4143-4147.	
	A4	Peterson, AC et al. Immunization With Melan-A Peptide-Pulsed Peripheral Blood Mononuclear Cells Plus Recombinant Human Interleukin-12 Induces Clinical Activity and T-Cell Responses in Advanced Melanoma. Journal of Clinical Oncology, June 2003; Vol. 21(12); 2342-2348.	
	A5	Thurner, B, et al. Vaccination With Mage-3A1 Peptide-pulsed Mature, Monocyte-derived Dendritic Cells Expands Specific Cytotoxic T Cells and Induces Regression of Some Metastases in Advanced Stage IV Melanoma. J. Exp. Med. Dec. 1999; Vol. 190(11); 1669-1678.	

LEXAMINED	DATE CONSIDERED	
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.